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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/875,053	06/07/2001	Kimberly Patrick Farrow	7099-1461	6053

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ALSTON & BIRD LLP
BANK OF AMERICA PLAZA
101 SOUTH TRYON STREET, SUITE 4000
CHARLOTTE, NC 28280-4000

EXAMINER

ROSEN, NICHOLAS D

ART UNIT	PAPER NUMBER
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3625

DATE MAILED: 07/20/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/875,053

Applicant(s)

FARROW ET AL.

Examiner

Nicholas D. Rosen

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 29 April 2005.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-12 and 15-18 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-12 and 15-18 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 07 June 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date. _____ | 6) <input type="checkbox"/> Other: _____ |

5:00

DETAILED ACTION

Claims 1-12 and 15-18 have been examined.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 1-6 and 15

Claims 1, 2, and 5 are rejected under 35 U.S.C. 103(a) as being unpatentable over Elliott ("THE MEDIA BUSINESS: ADVERTISING; on the Web by Time and Saatchi Offers a Way to Change On-Line Banners Instantly") in view of official notice. As per claim 1, Elliott discloses, in a network comprised of a client device and at least two server devices, a method for providing real-time price information, the method

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comprising: receiving at a first server device from the client device, a request for content, wherein the content is contained in an associate's web site that includes an offering made by a seller, wherein the first server maintains the associate's web site, and wherein an associate is an entity other than the seller; and substantially continuously providing the client device updates to variable data of the offering referenced in the associate's web site, wherein the variable data of the offering is subject to update by a second server (first two paragraphs; paragraph beginning "Here is how N.O.W. is meant to work"; and final paragraph). Elliott is not explicit about the servers, but official notice is taken that it is well known for web sites to be hosted on servers, and accessed from client devices such as home computers, making a first server to host "the Internet search engine Yahoo" or another Web site, and a client device to enable a potential customer to view the content of the Web site, obvious to one of ordinary skill in the art of electronic commerce at the time of applicant's invention, for the obvious advantage of enabling the particulars disclosed by Elliott to function. Likewise, it is held to have been obvious to one of ordinary skill in the art of electronic commerce at the time of applicant's invention for "the Internet search engine Yahoo" or another Web site to provide the requested content, since a web site which did not provide requested content would soon lack visitors, and be a poor place for a banner ad.

Elliott does not expressly disclose receiving an indication to purchase the offering of the seller directly via the associate's web site based on input from the client device, but official notice is taken that it is well known to receive indications to purchase

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offerings on banner ads or other websites based on input from a client device, often via an associate's web site like Yahoo. Hence, it would have been obvious to one of ordinary skill in the art of electronic commerce at the time of applicant's invention to receive such an indication, for the obvious advantage of profiting from "alerting consumers to limited-time or time-sensitive offers like sales, rebates, coupons and 'bogos' (buy one, get one free)," to quote Elliott.

As per claim 2, Elliott does not expressly disclose determining by at least one of the client device and the first server whether the content refers to variable data that is subject to modification by the second server, but it is held that the interaction between the first and second server if the content is updated, as disclosed by Elliott, would obviously involve the first server (and the client, if the client device displays, e.g., changing numbers) in determining whether the content includes or refers to variable data, e.g., a banner ad containing changing headlines or limited-time offers.

As per claim 5, Elliott does not expressly disclose providing, by the second server, an interface with a purchasing service, but official notice is taken that it is well known for Web advertisers to provide such interfaces. Hence, it would have been obvious to one of ordinary skill in the art at the time of applicant's invention to have the second server do so, for the obvious advantage of profiting from "alerting consumers to limited-time or time-sensitive offers like sales, rebates, coupons and 'bogos' (buy one, get one free)," to quote Elliott.

Claim 3 is rejected under 35 U.S.C. 103(a) as being unpatentable over Elliott and official notice as applied to claim 1 above, and further in view of Clenaghan et al. (U.S.

Patent Application Publication 2002/0052816). Elliott does not disclose transmitting executable code from the first server to the client device, wherein the executable code executing on the client device periodically establishes a communication link with the second server to receive any updates to variable data referenced in the content, but Clenaghan teaches a Java applet periodically querying a server for updated information (paragraph 0008), and official notice is taken that it is well known for Java applets to comprise executable code transmitted from servers to client devices. Hence, it would have been obvious to one of ordinary skill in the art of electronic commerce at the time of applicant's invention to transmit executable code from the first server to the client device, wherein the executable code executing on the client device periodically established a communication link with the second server to receive any updates to variable data referenced in the content, for the obvious advantage of arranging for updated information to be available to the user.

Claim 4 is rejected under 35 U.S.C. 103(a) as being unpatentable over Elliott and official notice as applied to claim 1 above, and further in view of Cole et al. (U.S. Patent 6,074,434). Elliott does not disclose transmitting executable code from the first server to the client device, wherein the executable code executing on the client device comprises: code detecting conditions associated with the client device that indicate a need for updates to the variable data; and code generating the updates to the variable data based on the detected conditions associated with the client device. However, Cole teaches transmitting executable code from a server to a client device, wherein the executable code executing on the client device comprises: code detecting conditions

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associated with the client device that indicate a need for updates to the variable data; and code generating the updates to the variable data based on the detected conditions associated with the client device (Abstract; column 6, lines 5-41; column 7, lines 8-19). Hence, it would have been obvious to one of ordinary skill in the art of electronic commerce at the time of applicant's invention to transmit executable code from the first server to the client device, wherein the executable code executing on the client device comprises: code detecting conditions associated with the client device that indicate a need for updates to the variable data; and code generating the updates to the variable data based on the detected conditions associated with the client device, for the obvious advantage of making the information on which the user of a client may rely current and valid.

Claim 6 is rejected under 35 U.S.C. 103(a) as being unpatentable over Elliott and official notice as applied to claim 1 above, and further in view of Senna "Generator 2." Elliott does not disclose narrowcasting at least a portion of the variable data, but Senna teaches targeting content toward specific users, as well as updating banner ads (paragraph beginning "This is particularly useful). Hence, it would have been obvious to one of ordinary skill in the art of electronic commerce at the time of applicant's invention to narrowcast at least a portion of the variable data, and provide variable data that had been narrowcasted, for the obvious advantage of providing targeted information, e.g., advertising targeted to particular users or subsets of users based on their probability of buying the advertised goods and/or services, as determined from prior purchases, demographic information, etc.

Claim 15 is rejected under 35 U.S.C. 103(a) as being unpatentable over Elliott and official notice as applied to claim 1 above, and further in view of the article "Inc. (NYSE: IPG), One of the World's Largest Organizations of Advertising." Elliot discloses publishing updated variable data for a second server to at least one of a client device and a first server (first two paragraphs; paragraph beginning "Here is how N.O.W. is meant to work"; and final paragraph). Elliott does not expressly disclose detecting with the second server when a change in variable data has occurred. (This could quite reasonably be viewed as inherent from "the ability to instantly change the headlines and text of banner ads," "real-time sports scores," etc., but to avoid argument over whether this could be met by a person inputting changed variable data into the second server, and whether there is a significant distinction between detecting with a server when a change has occurred, and detecting with the server that someone has entered changed instructions based on detecting a change by a person rather than the server, Examiner does not rely on inherency.) However, "Inc." teaches updating banner ads when certain sales goals are achieved or inventory reaches a specified level, based on a real-time connection to business information (see entire article, especially the paragraph beginning "Illustrating MOJO's capabilities"). Hence, it would have been obvious to one of ordinary skill in the art of electronic commerce at the time of applicant's invention to detect with the second server when a change in variable data has occurred, for the obvious advantage of readily updating time-sensitive offers, sports scores, or other changing information.

Claims 7-10 and 16

Claims 7 and 9 are rejected under 35 U.S.C. 103(a) as being unpatentable over Elliott ("THE MEDIA BUSINESS: ADVERTISING; on the Web by Time and Saatchi Offers a Way to Change On-Line Banners Instantly") in view of official notice. As per claim 7, Elliott discloses, in a network comprised of a client device and at least two server devices, a method for providing real-time price information, the method comprising: receiving at a first server device from the client device, a request for content, wherein the content is contained in an associate's web site that includes an offering made by a seller, wherein the first server maintains the associate's web site, and wherein an associate is an entity other than the seller; providing, by the second server, variable data of the offering; and substantially continuously providing the client device updates to variable data of the offering referenced in the associate's web site, wherein the variable data of the offering is subject to update by a second server (first two paragraphs; paragraph beginning "Here is how N.O.W. is meant to work"; and final paragraph). Elliott is not explicit about the servers, but official notice is taken that it is well known for web sites to be hosted on servers, and accessed from client devices such as home computers, making a first server to host "the Internet search engine Yahoo" or another Web site, and a client device to enable a potential customer to view the content of the Web site, obvious to one of ordinary skill in the art of electronic commerce at the time of applicant's invention, for the obvious advantage of enabling the particulars disclosed by Elliott to function. Likewise, it is held to have been obvious to one of ordinary skill in the art of electronic commerce at the time of applicant's invention for "the Internet search engine Yahoo" or another Web site to provide the requested

content, since a web site which did not provide requested content would soon lack visitors, and be a poor place for a banner ad.

Elliott does not expressly disclose receiving an indication to purchase the offering of the seller directly via the associate's web site based on input from the client device, but official notice is taken that it is well known to receive indications to purchase offerings on banner ads or other websites based on input from a client device, often via an associate's web site like Yahoo. Hence, it would have been obvious to one of ordinary skill in the art of electronic commerce at the time of applicant's invention to receive such an indication, for the obvious advantage of profiting from "alerting consumers to limited-time or time-sensitive offers like sales, rebates, coupons and 'bogos' (buy one, get one free)," to quote Elliott.

As per claim 9, Elliott does not expressly disclose providing, by the second server, an interface with a purchasing service, but official notice is taken that it is well known for Web advertisers to provide such interfaces. Hence, it would have been obvious to one of ordinary skill in the art at the time of applicant's invention to have the second server do so, for the obvious advantage of profiting from "alerting consumers to limited-time or time-sensitive offers like sales, rebates, coupons and 'bogos' (buy one, get one free)," to quote Elliott.

Claim 8 is rejected under 35 U.S.C. 103(a) as being unpatentable over Elliott and official notice as applied to claim 7 above, and further in view of Clenaghan et al. (U.S. Patent Application Publication 2002/0052816). Elliott does not disclose transmitting executable code from the second server to the client device, wherein the executable

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code executing on the client device periodically establishes a communication link with the second server to receive any updates to variable data referenced in the content, but Clenaghan teaches a Java applet periodically querying a server for updated information (paragraph 0008), and official notice is taken that it is well known for Java applets to comprise executable code transmitted from servers to client devices. Hence, it would have been obvious to one of ordinary skill in the art of electronic commerce at the time of applicant's invention to transmit executable code from the second server to the client device, wherein the executable code executing on the client device periodically established a communication link with the second server to receive any updates to variable data referenced in the content, for the obvious advantage of arranging for updated information to be available to the user.

Claim 10 is rejected under 35 U.S.C. 103(a) as being unpatentable over Elliott and official notice as applied to claim 7 above, and further in view of Senna "Generator 2." Elliott does not disclose narrowcasting at least a portion of the variable data, but Senna teaches targeting content toward specific users, as well as updating banner ads (paragraph beginning "This is particularly useful). Hence, it would have been obvious to one of ordinary skill in the art of electronic commerce at the time of applicant's invention to narrowcast at least a portion of the variable data, and provide variable data that had been narrowcasted, for the obvious advantage of providing targeted information, e.g., advertising targeted to particular users or subsets of users based on their probability of buying the advertised goods and/or services, as determined from prior purchases, demographic information, etc.

Claim 16 is rejected under 35 U.S.C. 103(a) as being unpatentable over Elliott and official notice as applied to claim 7 above, and further in view of the article "Inc. (NYSE: IPG), One of the World's Largest Organizations of Advertising." Elliot discloses publishing updated variable data for a second server to at least one of a client device and a first server (first two paragraphs; paragraph beginning "Here is how N.O.W. is meant to work"; and final paragraph). Elliott does not expressly disclose detecting with the second server when a change in variable data has occurred. (This could quite reasonably be viewed as inherent from "the ability to instantly change the headlines and text of banner ads," "real-time sports scores," etc., but to avoid argument over whether this could be met by a person inputting changed variable data into the second server, and whether there is a significant distinction between detecting with a server when a change has occurred, and detecting with the server that someone has entered changed instructions based on detecting a change by a person rather than the server, Examiner does not rely on inherency.) However, "Inc." teaches updating banner ads when certain sales goals are achieved or inventory reaches a specified level, based on a real-time connection to business information (see entire article, especially the paragraph beginning "Illustrating MOJO's capabilities"). Hence, it would have been obvious to one of ordinary skill in the art of electronic commerce at the time of applicant's invention to detect with the second server when a change in variable data has occurred, for the obvious advantage of readily updating time-sensitive offers, sports scores, or other changing information.

Claims 11 and 17

Claim 11 is rejected under 35 U.S.C. 103(a) as being unpatentable over Elliott in view of official notice. Claim 11 is essentially parallel to claim 1, and rejected on much the same grounds. Elliott does not expressly disclose a computer-readable medium containing instructions for causing a data processing system to perform the method of claim 1, but does disclose a method involving web sites, which inherently involve computers. Official notice is taken that computer-readable media containing instructions for controlling data processing systems are well known; hence, it would have been obvious to one of ordinary skill in the art of electronic commerce at the time of applicant's invention to use such a medium, for the obvious advantage of instructing a data processing system to perform the indicated steps.

Claim 17 is rejected under 35 U.S.C. 103(a) as being unpatentable over Elliott and official notice as applied to claim 11 above, and further in view of the article "Inc. (NYSE: IPG), One of the World's Largest Organizations of Advertising." Claim 17 is parallel to claim 15, and rejected on the same grounds.

Claims 12 and 18

Claim 12 is rejected under 35 U.S.C. 103(a) as being unpatentable over Elliott in view of official notice. Claim 12 is essentially parallel to claim 7, and rejected on much the same grounds. Elliott does not expressly disclose a computer-readable medium containing instructions for causing a data processing system to perform the method of claim 7, but does disclose a method involving web sites, which inherently involve computers. Official notice is taken that computer-readable media containing

instructions for controlling data processing systems are well known; hence, it would have been obvious to one of ordinary skill in the art of electronic commerce at the time of applicant's invention to use such a medium, for the obvious advantage of instructing a data processing system to perform the indicated steps.

Claim 18 is rejected under 35 U.S.C. 103(a) as being unpatentable over Elliott and official notice as applied to claim 12 above, and further in view of the article "Inc. (NYSE: IPG), One of the World's Largest Organizations of Advertising." Claim 18 is parallel to claim 16, and rejected on the same grounds.

Response to Arguments

Applicants' arguments with respect to claims 1-12 have been considered but are moot in view of the new ground(s) of rejection. In view of the Exhibits submitted by Applicants, and the signed declaration by Applicants that these exhibits are dated before August 31, 2000, Examiner withdraws the rejections made based on Walker ("Beyond the Banner Ad"). However, new art rejections have been made based on the article by Elliott, dated March 9, 1998, which predates Applicants' filing date by more than a year, and therefore cannot be "sworn behind."

Regarding the rejections made under 35 U.S.C. 112, first paragraph, Examiner has withdrawn them in view of Applicants' arguments, the sections of the specification to which Applicants have referred, and the definitions of the relevant terminology implies by Applicants' arguments.

Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Wexler (U.S. Patent 5,960,409) discloses a third-party on-line accounting system and method therefor. Adler et al. (U.S. Patent 6,009,409) disclose a system and method for scheduling and controlling delivery of advertising in a communications network. Blumenau (U.S. Patent 6,108,637) discloses a context display monitor (see columns 5, 6, and 9 for Web advertisements and data updating). Siegel et al. (U.S. Patent 6,691,112) disclose a method for indexing and managing a searchable community of non-HTML information (note column 8 for updating a business website).

The anonymous article, "A Wiser P&G" discloses clicking on banner ads to visit web sites. The anonymous article "Impulse Shopping Hits the Web with Internet-wide Launch of Impulse! Buy Network," discloses a continuously updated stream of scrolling offers. The anonymous article, "Onsale Debuts Breakthrough in Online Advertising," discloses updating information in banner ads, which can dynamically call on any resource on the net. Littlewood ("Banners Take Their Place on the Digital Field of Battle") discloses real-time banners that continually updated holiday offers.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Nicholas D. Rosen, whose telephone number is 571-272-6762. The examiner can normally be reached on 8:30 AM - 5:00 PM, M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Wynn Coggins can be reached on 571-272-7159. The fax phone number

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for the organization where this application or proceeding is assigned is 571-273-8300.

Non-official/draft communications can be faxed to the examiner at 571-273-6762.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Nicholas D. Rosen

NICHOLAS D. ROSEN
PRIMARY EXAMINER

July 18, 2005